

# Prices and fees valid from June 1<sup>st</sup>, 2021

## International

### Trading

#### Securities trading <sup>1,2,3,4</sup>

<b>Brokerage fee</b> <b>Stocks, bonds, investment funds, ETFs, structured products</b> (per transaction)	<ul style="list-style-type: none"> <li>• Under CHF 10 000, CHF 70</li> <li>• From CHF 10 000, CHF 100</li> <li>• From CHF 25 000, CHF 180</li> <li>• From CHF 50 000, CHF 360</li> </ul>
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#### Custody account management

<b>Custody account fee</b> (assets in custody account)	0.25% p.a., minimum CHF 100 per custody account
<b>Securities delivery, incoming</b> <sup>5</sup> (electronic)	Free of charge
<b>Securities delivery, outgoing</b> <sup>5</sup> (electronic)	CHF 150 per position
<b>Entry in the share register</b> (registered shares)	<ul style="list-style-type: none"> <li>• Possible on request</li> <li>• Free of charge</li> </ul>
<b>Corporate Actions</b>	Free of charge
<b>Tax statement Germany</b>	CHF 250 per statement

#### Private account

<b>Account management basic fee</b> (per client relation)	CHF 480 p.a.
<b>Additional account/custody account/tax statements/transaction records</b> (copies) <sup>6</sup>	At cost, minimum CHF 20 per statement
<b>Credit interest rate (CHF EUR, USD)</b>	0.0%
<b>Account overdraft interest rate</b> (debit interest with negative balance)	6.75%

#### Securities loan (Lombard)

<b>Lombard loan in the form of current account credit</b>	<ul style="list-style-type: none"> <li>• Credit limit from CHF 50 000</li> <li>• Interest rate in CHF 4.25% / EUR 4.5%</li> </ul>
<b>Lombard loan in the form of a fixed advance</b>	<ul style="list-style-type: none"> <li>• Credit limit from CHF 100 000</li> <li>• Interest rate (CHF/EUR) on request</li> </ul>

- 1 Securities in foreign currencies are settled against CHF at the daily bid/ask rate. This includes a spread (foreign currency surcharge).
- 2 The turnover stamp duty on the purchase/sale of domestic/foreign securities (including funds) is 0.075% and 0.15% respectively. The fees and costs charged by funds can be seen in the basic information sheet/prospectus of the respective fund.
- 3 Third-party commissions and fees, e.g. from the respective stock exchange or brokers, are forwarded.
- 4 Partial executions: If an order is traded in partial executions, the bank only charges the brokerage fee once for each settlement day.
- 5 Third-party fees are charged to the customer.
- 6 If available in electronic form. Fees for archive orders are billed at cost.

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### Portfolio Management

#### Multimanager-Strategies <sup>1,2,3</sup>

Management fee	"Conservative"	"Balanced"	"Growth"
Up to CHF/EUR 50000	1.10 %	1.20 %	1.30 %
Over CHF/EUR 50000	1.00 %	1.10 %	1.20 %
Over CHF/EUR 100000	0.90 %	1.00 %	1.10 %
Transaction fee (brokerage fee)	One-time payment (buy/sell)	Savings plan (buy/sell)	Withdrawal plan (sell)
	<ul style="list-style-type: none"> <li>Under CHF 10000, CHF 35</li> <li>From CHF 10000, CHF 50</li> <li>From CHF 25000, CHF 90</li> <li>From CHF 50000, CHF 180</li> </ul>	1% from the investment resp. disinvestment amount	CHF 5
Transaction fee for switching investment strategy	Free of charge		

### Custody account Management

<b>Custody account fee</b> (asset value per custody)	0.25% p.a., minimum CHF 100 per custody account
<b>Tax statement Germany</b>	CHF 250 per statement

### Private account

<b>Account management basic fee</b> (per client relation)	CHF 480 p.a.
<b>Additional account/custody account/tax statements/transaction records</b> (copies) <sup>4</sup>	At cost, minimum CHF 20 per statement

- 1 Securities in foreign currencies are settled against CHF at the daily bid/ask rate. This includes a spread (foreign currency surcharge).
- 2 The fees and costs of the funds in which investments are made as part of the investment strategy, as well as the turnover stamp duty due on the purchase/sale of fund units (0.075% and 0.15% respectively) are charged to the investment assets.
- 3 Third-party commissions and fees, e.g. from the respective stock exchange or brokers, are forwarded.
- 4 If available in electronic form. Fees for archive orders are billed at cost.

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### Various services

#### Payment transactions <sup>1,2</sup>

<b>Online payment transactions in Switzerland</b>	<ul style="list-style-type: none"> <li>In CHF free of charge</li> <li>In foreign currency CHF 5 + possible third-party fees</li> </ul>
<b>Online payment transactions abroad <sup>3</sup></b>	CHF 5 + possible third-party fees <sup>4</sup>
<b>Manual order Switzerland in CHF and foreign currency</b>	CHF 10
<b>Manual order abroad in CHF and foreign currency <sup>3</sup></b>	CHF 20 + possible third-party fees
<b>Internal account transfer</b>	Free of charge

#### Teller fees

<b>CHF deposit and withdrawal through CHF account</b>	Free of charge
<b>Deposit of foreign currency through a foreign currency account</b>	Free of charge
<b>Withdrawal of foreign currency through a foreign currency account</b>	2% commission
<b>Exchange, money exchange withdrawal of EUR, USD, GBP</b>	Daily rate
<b>Precious metals delivery, outgoing</b>	2% commission, minimum CHF 500

#### Special services

<b>Undeliverable mail</b>	At cost, minimum CHF 100 p.a.
<b>Closure fee</b>	CHF 100 per banking relationship
<b>Processing fee for inheritance cases</b>	<ul style="list-style-type: none"> <li>Information enquiries free of charge</li> <li>Lump-sum fee for dispositions upon request</li> </ul>
<b>cash stock market subscription "Profi"</b>	<ul style="list-style-type: none"> <li>CHF 1298 p.a.</li> <li>Free of charge with a securities custody account worth at least CHF 20000</li> </ul>
<b>Other services not listed here</b>	At cost

1 Outgoing payments are only possible to bank or postbank accounts. Third-party fees are charged to the customer.

2 Payments in foreign currency +2 working days.

3 Payments to Europe (outside Switzerland): Post-processing fee of EUR 5 for erroneous, incomplete or missing data regarding IBAN and BIC numbers.

4 Cost-effective SEPA payments within the EU and the EEA. Amounts up to EUR 50 000 will automatically be processed as SEPA payment when using our e-banking. For SEPA payments over EUR 50 000 you are required to contact the bank. Criteria for settlement as a SEPA payment: 1) Payment in EUR. 2) Beneficiary's account number in the IBAN format. 3) Beneficiary's financial institution is a SEPA participant. 4) Don't make changes in the additional instructions.

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